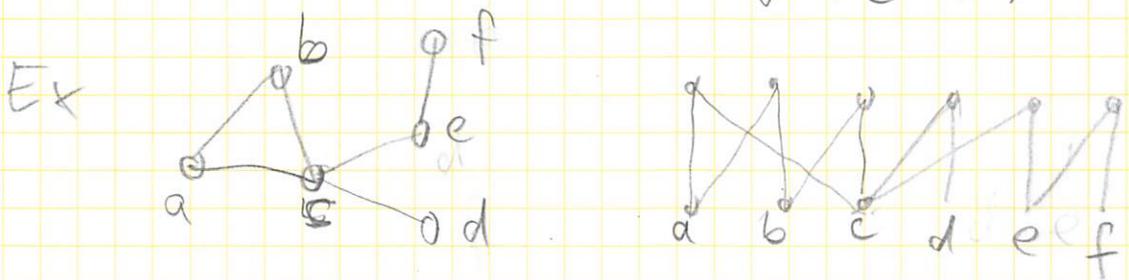


# 4) Dimension of incidence orders

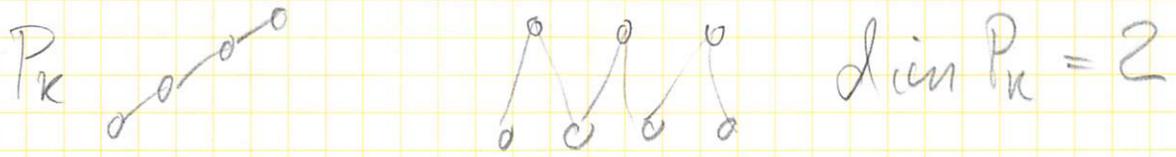
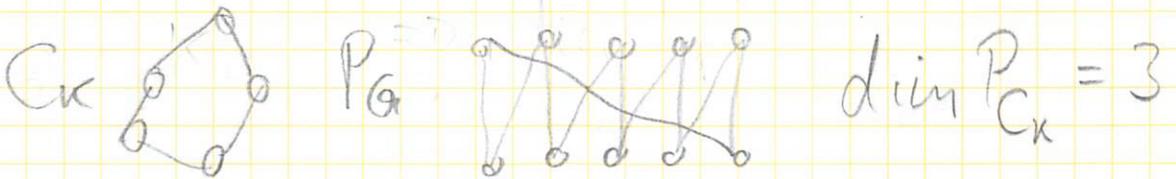
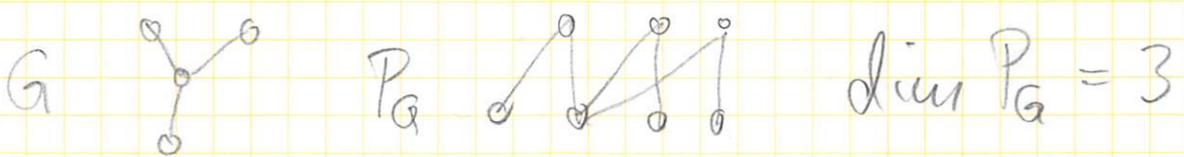
## 4.1 Introduction

Recall the definition

$G = (V, E)$  a graph the incidence order is  $P_G = (V \cup E, <)$  with relations  $v < e \Leftrightarrow v \in e$

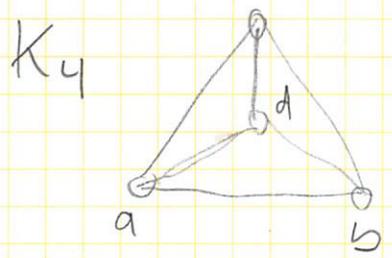


We know



Cor:  $\dim P_G = 2 \Leftrightarrow G$  is a forest of  $P$  trees and  $n > 1$

$G = K_n \quad \dim(P_{K_n}) \geq \log \log n + 1$



degree 3  $\Rightarrow \dim P_{K_4} \geq 3$

a 3 realizer

- bc d a
- ac d b
- ab d c

When dealing with  $\dim P_G$  we have only focussed on vertex orderings

In fact we have implicitly worked with this

Def:  $G$  Graph  $\dim(G) = \min t$  such that  $\exists \pi_1 \dots \pi_t \in S_V$  such that  $\forall (v, e)$  with  $v \notin e$



Proposition:

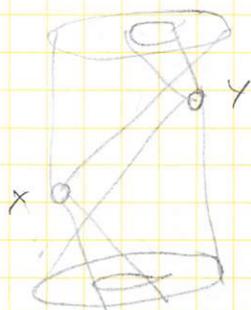
• If  $G$  has no leaf vertices

$\Rightarrow \dim G = \dim P_G$

• If  $G^+$  is obtained from  $G$  by adding any number of leaf vertices to each vertex of  $G$

$\dim G \leq \dim P_{G^+} \leq \dim G + 1$

proof: • consider critical pairs

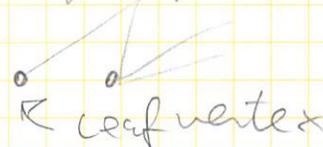


$\dim(G)$  takes care of min-max

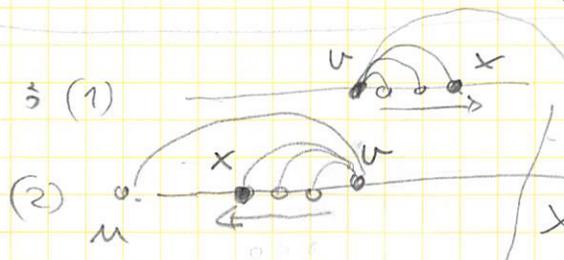
both max



both min



• At a vertex  $\exists$  (1)



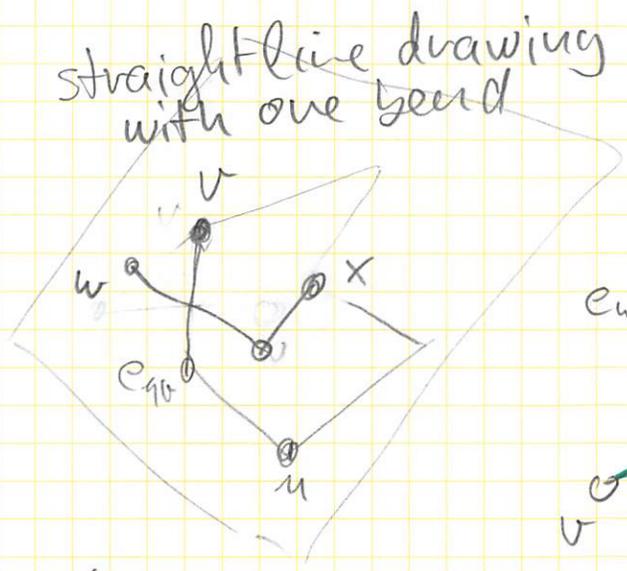
(1) may not be enough  
x never gets over v u

Do (1) in each  $\pi_i$  and add one corresp to  $\pi_1$  in manner (2)

Proposition :  $\dim P_G \leq 3 \Rightarrow G$  planar

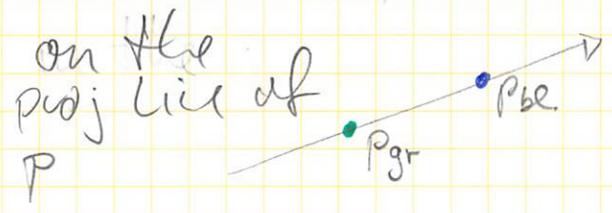
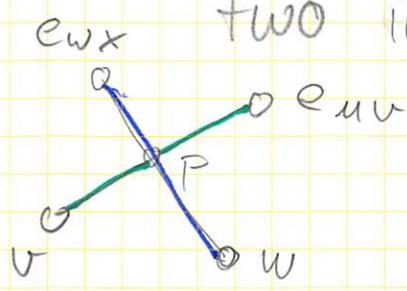
proof. Let  $\dim P_G \leq 3$  and suppose  $G$  non planar.

Consider an order preserving embedding of  $P_G$  in  $\mathbb{R}^3$ . Let  $H$  be a plane with  $(1,1,1) \in H^\perp$  project the element sand comparabilities orthogonally to  $H$



non-planar

$\Rightarrow \exists$  crossing of two independent edges.



$\Rightarrow$   $v$  to  $P_{green}$   
 $P_{green}$  to  $P_{blue}$   
 $P_{blue}$  to  $e_{wx}$  } each induced sub

$\Rightarrow v < e_{wx} \nabla \square$

In 1989 Shmyder proved the following characterization of planarity

Then Shmyder  $G$  planar  $\Leftrightarrow \dim P_G \leq 3$

In this and the next lecture we will discuss this result and some generalizations.

# 4.2 Schnyder woods

Dimension is monotone

$\Rightarrow H$  a subgraph of  $G \Rightarrow \dim H \leq \dim G$

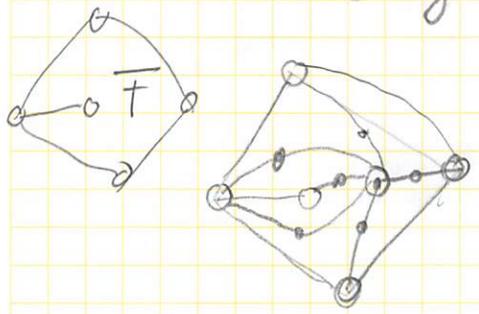
Remark: Every planar graph is a (induced) subgraph of a planar triangulation

Triangulate simple graphs!

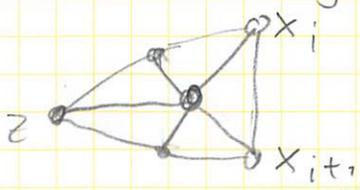
Proof Fig a plane drawing of  $G$

(1)  $\forall F$  face  $G$ , let  $x_1 x_2 \dots x_k$  be the cyclic order of vertices on boundary repetitions allowed

add edges  $x_i - y_i^F - z^F$



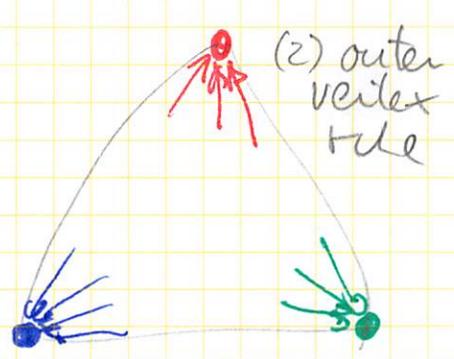
(2) Triangulate each face of this graph with a new vertex



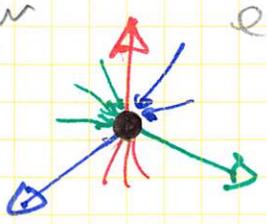
Thm | Schnyder |  $G$  plane triangulation  
Walter Schnyder  $\Rightarrow \dim G \leq 3$   
89/90

Def. Schnyder wood

orientation and coloring of inner edges of triangul.



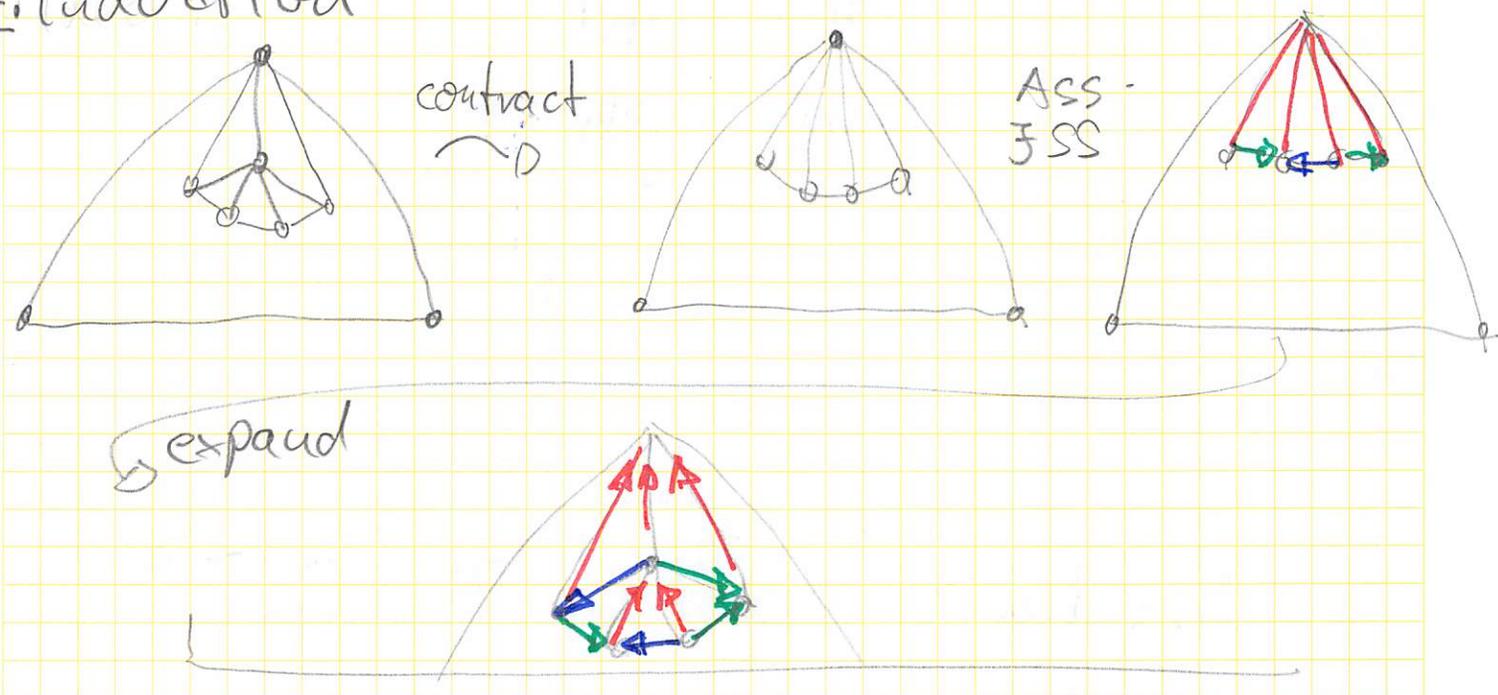
(1) inner vertex rule



Proposition

Existence of Schnyder woods

Priluduction

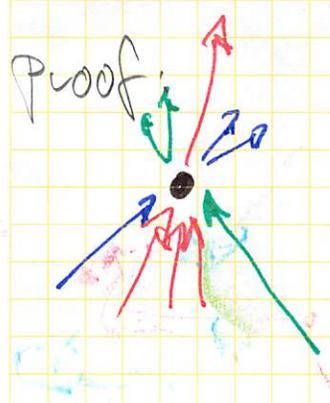


Problem: want to contract  $v_a$   
but  $\exists$  separating triangle  $uva$

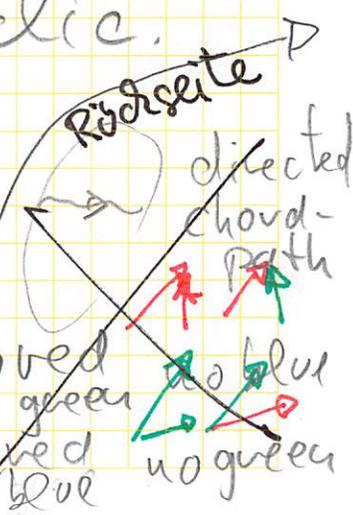


try a neighbor of  $a$  inside the triangle  $uva$   
reduce area of triang

Lemma: Let  $S$  be a SW and  $\{r, g, b\} = \{1, 2, 3\}$   
and let  $T_i$  be the set of oriented edges of color  $i$  and  $T_i^{-1}$  the set with reverse or.  
 $\Rightarrow T_i \cup T_{i-1}^{-1} \cup T_{i+1}^{-1}$  is acyclic.

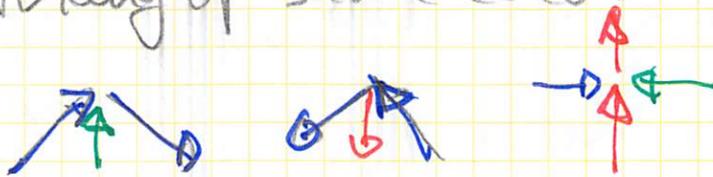


- suppose there is a cycle  $C$
- if  $C$  is not facial  $\Rightarrow \exists$
- $\Rightarrow \exists$  dir. cycle with smaller enclosed area
- $\Rightarrow C$  can be assumed to be a triangle.



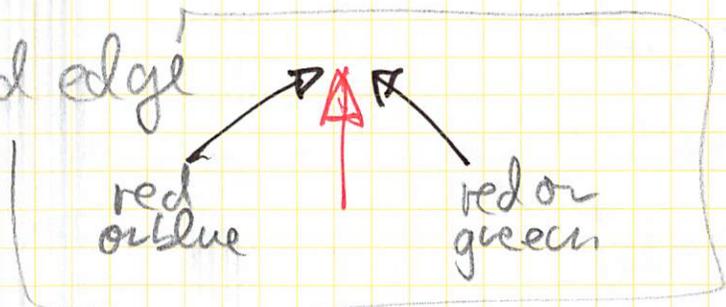
no directed triangle in  $T_i \cup T_{i-1}^{-1} \cup T_{i+1}^{-1}$

obs 1 no two consec edges at  
triang of same color



⇒ all 3 colours

obs 2 no red edge



Cor 1

$T_i$  is acyclic  $n-3$  edges on  $n-2$  vertices

Cor 1.  $T_i$  is a tree

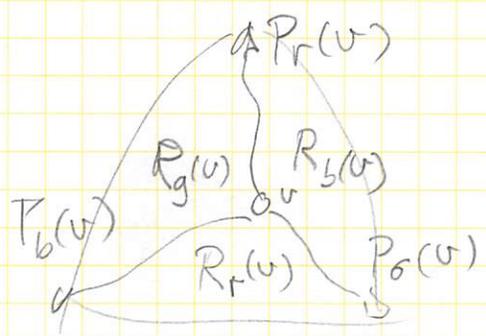
all paths on  $T_i$  directed to  $a_i$  (center)

Def  $P_i(x)$  the path  $x \rightarrow a_i$  in  $T_i$   
(path of  $x$  in color  $i$ )

Cor 2.  $P_i(x) \cap P_j(x) = \{x\}$  if  $i \neq j$

Pr. otherwise a cycle in  $T_i \cup T_j^{-1}$

Def (region of  $x$  in color  $i$ )



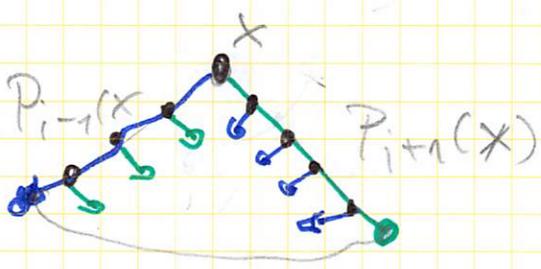
$R_i(v)$  bounded region  
with  $\partial R_i(v) = P_{i-1}(v) \cup P_{i+1}(v) \cup \{a_{i-1}, a_{i+1}\}$

Lemma (containment of regions)

$$y \in R_i(x) \implies_{y \neq x} R_i(y) \subseteq R_i(x)$$

Proof

Consider local conditions for all vertices on paths  $P_{i-1}(x)$  and  $P_{i+1}(x)$



$$\implies \forall y \in R_i(x) \quad P_{i-1}(y) \subseteq R_i(x)$$

$$\text{and } P_{i+1}(y) \subseteq R_i(x)$$

$$\implies R_i(y) \subseteq R_i(x) \text{ but } x \notin R_i(y)$$

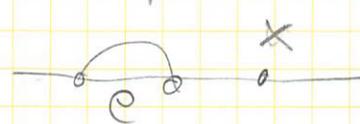
□

proof of Schnyder's Theorem  
 $G$  triang  $S$  a SW of  $G$   $R_i(x)$  region

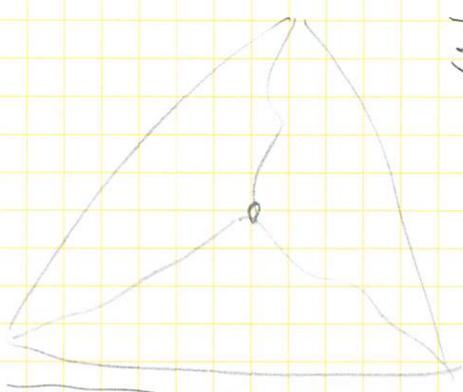
Let  $\pi_i: V \rightarrow \mathbb{R}$  be such that  
 $R_i(x) \subset R_i(y) \Rightarrow \pi_i(x) < \pi_i(y)$

(even though  $\pi_i$  may have ties that of it is a linear order of the inclusion of regions)

Claim  $\pi_1, \pi_2, \pi_3$  certify  $\dim(G) \leq 3$

Have to show  $\exists_i$    $\{ \text{pairs } (e, x) \}$  with  $x \in e$

Let  $(e, x)$  be a pair with  $x \in e$



$\exists_i$  such that  $e \subset R_i(x)$

$\Rightarrow$  both vertices of  $e$  belong to  $R_i(x)$

$\Rightarrow$  both below  $x$  in  $\pi_i$

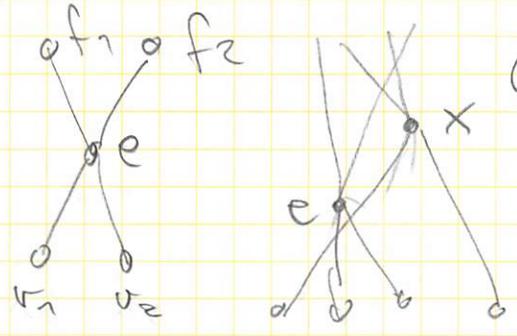
Summary

Two Extensions and Applications

① Consider the incidence poset of vertices - edges and bounded faces  $P_{VE\neq\emptyset}(G)$  of a triangulation

Proposition:  $\dim P_{VE\neq\emptyset}(G) \leq 3$

Claim:  $\forall e \in E$   $e$  is not contained in a crit pair



$(e, x)$   $\text{up}(x) \in \{f_1, f_2\}$

$\Rightarrow x$  is a face. But a face containing both vertices of  $e$  contains

$(x, e)$   $\text{down}(x) \in \{v_1, v_2\} \Rightarrow x$  is a vertex incident to  $f_1, f_2$

triang. are simple.

•  $\pi_r \pi_g \pi_b$  revert all pairs  $(v, f)$  with  $v \in f$



Proof  $f$  is contained in one of the regions of  $v$ .  $\square$

Cor  $\dim P_{VE \neq f}(G) \leq 4$

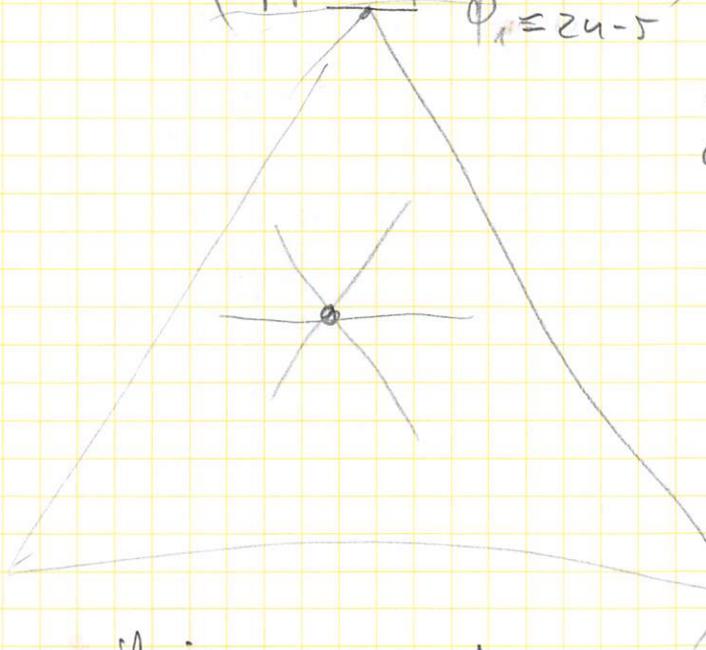
here we include the outer face

• We know  $\dim(P-x) \leq \dim(P) + 1$

### II Planar drawings

Let  $\phi_i(x) = |R_i(x)|$  we get a mapping

$x \mapsto (\phi_1(x), \phi_2(x), \phi_3(x))$  with  $\sum \phi_i(x) = 2n - 5 \forall x$

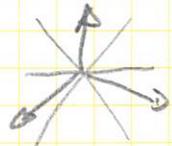


Equilateral triangle of height  $2n-5$

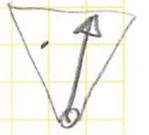
Barycentric coordinates unique point  $\forall x \in V_{G_1}$

Proposition In the drawing induced by  $\phi$

i) each  $i$ -edge is in the  $i$ -wedge



ii) each edge has its private triangle empty



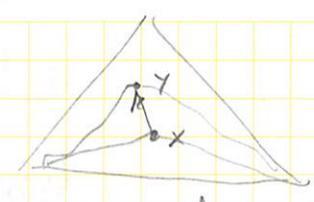
~~iii) the rotation at every vertex is preserved~~

(iv) there is no crossing

Proof (i)

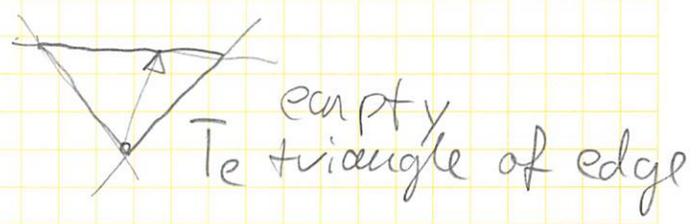
$\forall e$   $i$ -edge

$\phi_i(x) < \phi_i(y)$  and  $\phi_j(y) > \phi_j(x)$  for  $j \neq i$



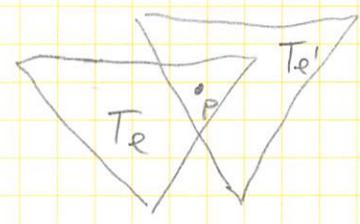
(ii) consider  $v \in e = (x, y) \Rightarrow \exists i$  st  $e \in R_i(v)$

$\Rightarrow \forall x \in e: \phi_i(x) < \phi_i(v)$



(iii) suppose that there is crossing pair  $e, e'$  of edges.

Look at  $T_e$  and  $T_{e'}$   
cross point  $p \in T_e \cap T_{e'}$



$\Rightarrow$  vertex of  $e$  in  $T_{e'}$  (or conversely) □

Cor: A planar  $n$  vertex graph  $G$  has a straight line drawing with vertices on grid points in  $[2n-5] \times [2n-5]$

### III Triangle contact representations

Intersection representations of graphs

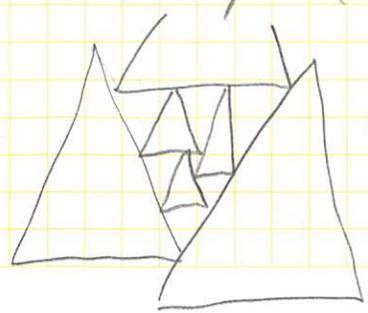
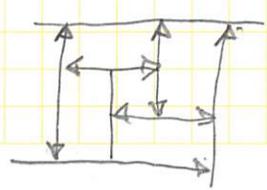
- interval graphs
- rectangle intersection
- disc graphs

Contact representations of planar graphs

$\triangleright$   $v$ -objects are internally disjoint

$\triangleright$  contact  $\Leftrightarrow$  edge

segment contact of cube



triangle contact of octahedron

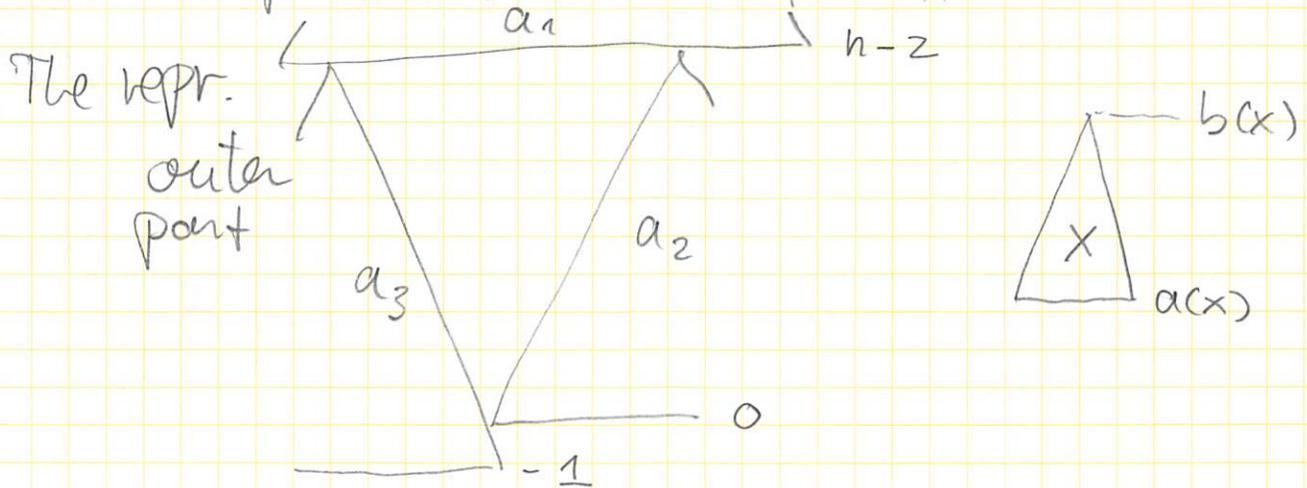
THM Every planar graph  $G$  has a triangle contact representation

Proof: JT triangulation containing  $T$  as induced subgraph.

consider SW of  $T$  Let  $\pi$  be a top ordering of  $T_1 \cup T_2^{-1} \cup T_3^{-1}$  have  $\pi_1 \dots \pi_{n-3}$  list of inner vertices

with  $x$  we consider  $x \xrightarrow{1} y$  and let

$$a(x) = \text{pos}(x, \pi) \quad \text{and} \quad b(x) = \text{pos}(y, \pi)$$

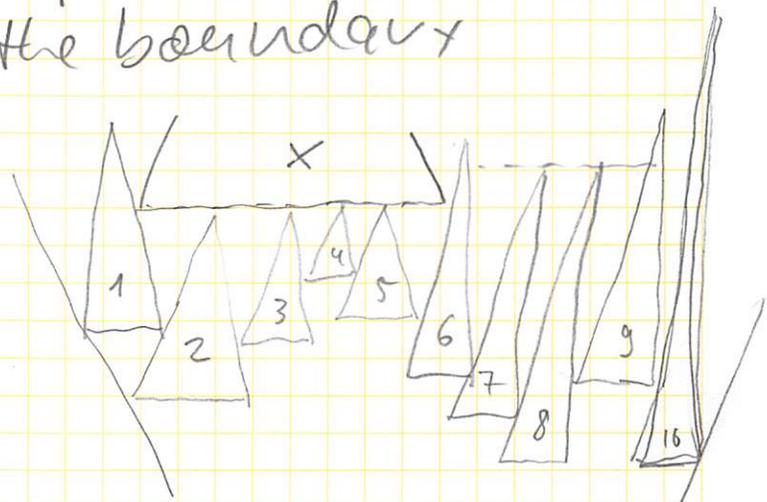
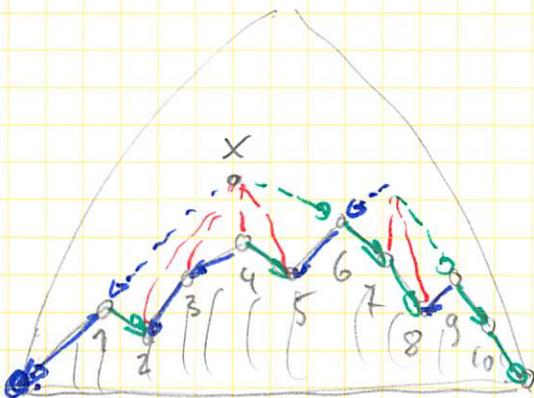


The repr. is constructed along the ordering  $\pi$

Obs:  $\forall k \in [1, n-3]$

$\pi_1 \dots \pi_k$  consists of an initial part of the SW

invariant: the order of enclosed triangles reflects the order of the boundary  $x$

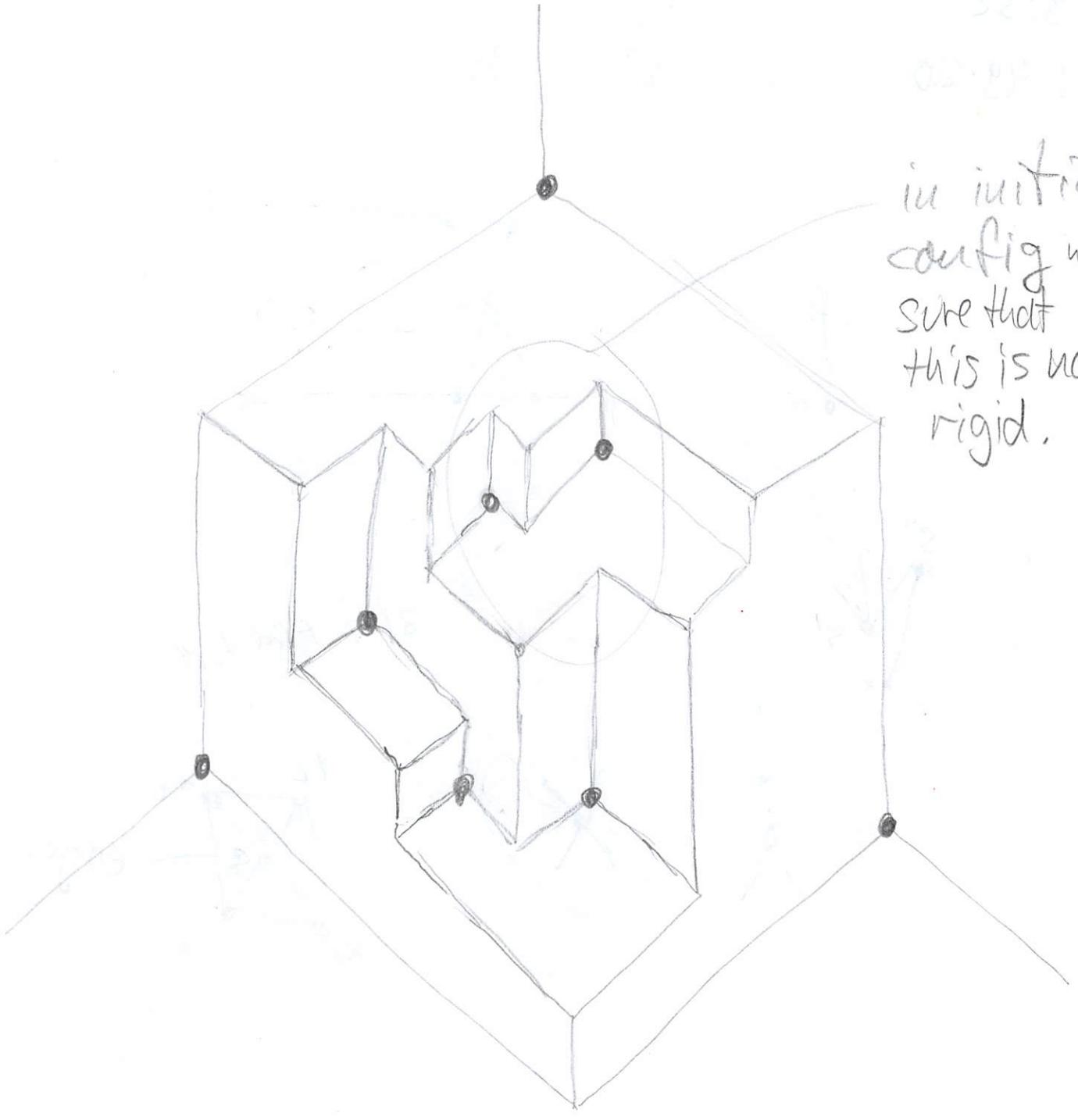


Top.

52:38

03:08

in initial  
config make  
sure that  
this is not  
rigid.

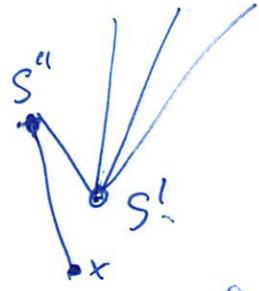
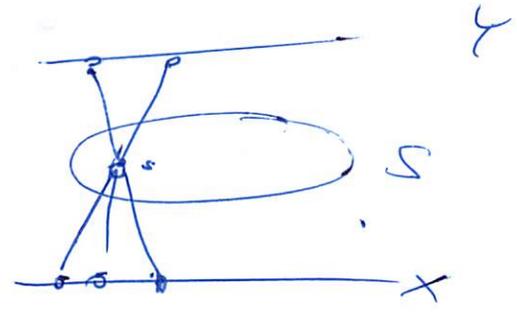


10:30

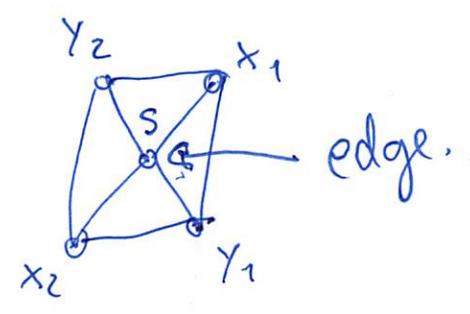
11:53

3:32

1:49:20



$$3|S| + |A| + |S'|$$



Have seen

SW

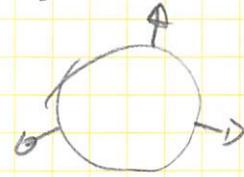
$\dim P_{VEF} \leq 3$   
grid drawing

triangle contact repr.

### 4.3 3-connected planar graphs and orthogonal surfaces

We consider 3-connected planar graphs with outer vertices  $a_1 a_2 a_3$  clockwise and half edges at the  $a_i$

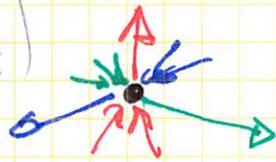
For short this is a  $\Sigma$ -graph.



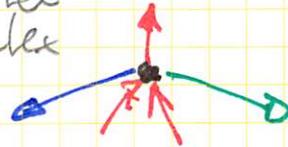
Def: A Schnyder wood of a  $\Sigma$ -graph is a coloring and orientation such that

(i) (edge cond)  $\overset{i}{\circ} \xrightarrow{\text{red}} v_i \leftarrow \overset{j}{\circ} \xrightarrow{\text{blue}} v_i$  bidir  $i \neq j$

(ii) (inner vertex)



(iii) outer vertex



(iv) no monochromatic face cycle

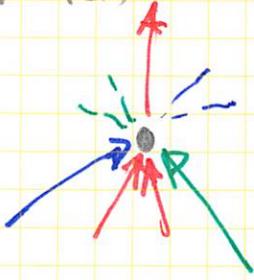
Prop: Every  $\Sigma$ -graph has a SW  
proof omitted - no nice proof known

Lemma: S a SW  $T_i$  edges of col  $i$   
 $\Rightarrow T_i \cup T_{i-1}^{-1} \cup T_{i+1}^{-1}$  is acyclic  
(upto bidirected paths)

Proof Only care of cycles with area  
Min area  $\Rightarrow$  no internal edge  $\Rightarrow$  face

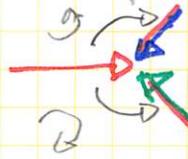
Consider facial cycle  $C$  cw or ccw 11a

A vertex



Red

- no unidirected red edges on  $C$



- bidir



yields red div cycle  
↔ to (iv)

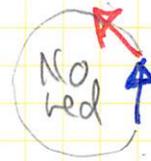
Blue

- Blue and cw



No

- Blue and ccw



No green cycle (iv)

□

Cor.  $T_i$  is a tree with half-edge at root arborescence

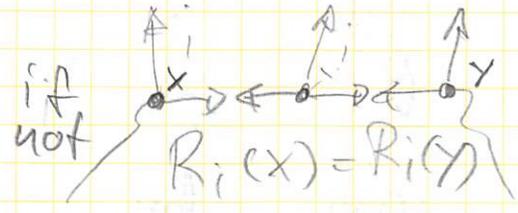
Def Paths  $P_i(x)$

Cor  $\forall i \neq j \quad P_i(x) \cap P_j(x) = \{x\}$

Def Regions  $R_i(x)$

Lemma

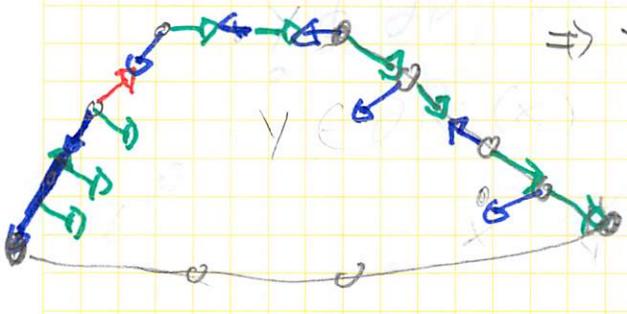
$y \in R_i(x)$   
 $x \neq y$



then  $R_i(y) \subseteq R_i(x)$

if not

Proof Consider local cond<sub>i</sub> for  $y \in P_{i-1}(x) \cup P_{i+1}(x)$



$\Rightarrow \forall y \in R_i(x)$

$P_{i-1}(y)$  and  $P_{i+1}(y) \subseteq R_i(x)$

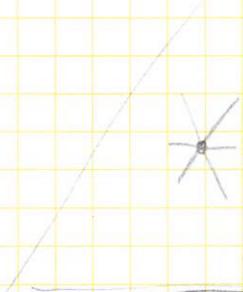
$\Rightarrow R_i(y) \subseteq R_i(x)$

if  $x \notin R_i(y) \Rightarrow R_i(y) \not\subseteq R_i(x)$   
 deficit deficit

Drawing

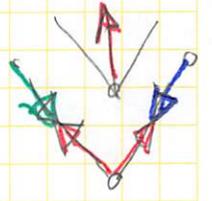
$\phi_i(x) = |R_i(x)|$  again  $\sum \phi_i(x) = f-1$

bar-centric



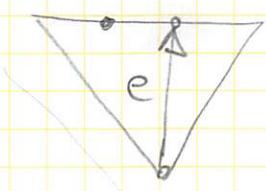
$i$ -edge is in the  $i$  wedge

uni-directed interior  
 bidirected boundary



• bidirected  
 path  
 collinear

• weakly empty triangle

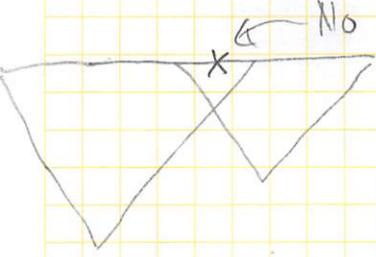
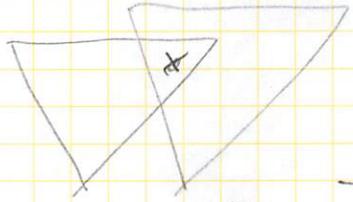


$v \notin e$

$\Rightarrow e \in R_i(v)$  for some  $i$

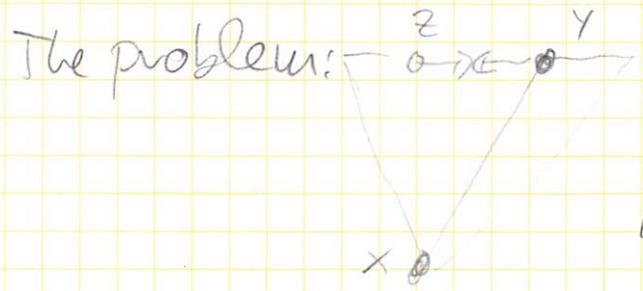
$\Rightarrow \phi_i(x) \leq \phi_i(v) \forall x \in e$

Crossing.

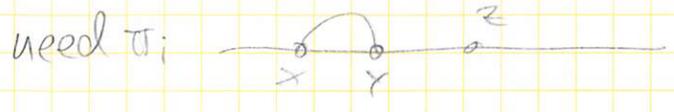


THM: 3 con. pl. gr. has plane straight line convex drawing on  $(f-1) \times (f-1)$  grid.

While for triangulations the dimension theoretic applications and the drawing were equally easy. There is a difference in the 3 connected case.



we have to bring z above xy



We solve the problem using orthogonal surfaces and even prove a strong version of the dimension theorem

**Theorem:**  $G \Sigma$ -graph  $\exists$  OS  $S$

$\Rightarrow \exists$   $S$  such that the critical points of  $S$  yield an order embedding of  $P_{VEF'}$  in  $\mathbb{R}^3$

we get embedding in particular  $\dim P_{VEF'} = 3$

*Annotations:*

- crit pairs yield order emb of  $P_{VEF}$  in  $\mathbb{R}^3$
- extend and we get embedding
- $P(x) : x \in F$
- $P(y)$
- $\mathbb{R}^3$

### Orthogonal surfaces

$$V \subseteq \mathbb{R}^3 \text{ finite} \quad U[V] = \{x \in \mathbb{R}^3 : \exists v \in V \quad v \leq x\}$$

$$S_V = \partial U[V]$$

↑ the orthogonal surface generated by  $V$

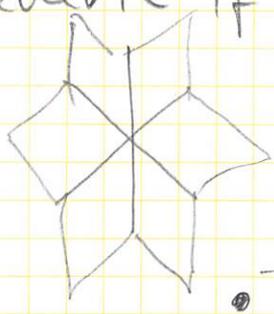
Note  $S_V = S_{\text{Min}V}$  typically  $V$  antichain

$S_V$  has vertices edges faces for distinction we call them crit points arcs flats

- every arc is incident to two flats
- crit points are minimal / maximal / saddle

$S_V$  is generic if every saddle is incident to

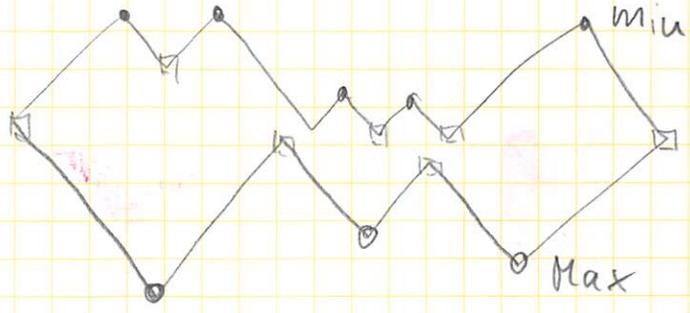
3 flats



- generic  $\Rightarrow$  every crit point is incident to three flats

• The typical shape of a flat

of type 1 coord. 1 is constant



$M$  a plane graph A drawing  $M \hookrightarrow S_V$  is a geodesic embedding if

- (G1) (vertex ax) Bijection  $V_M \leftrightarrow V$
- (G2) (edge ax) every edge  $(u,v)$  is geodesic i.e. a union of two segments connecting  $u$  and  $v$  to  $uv$  and every arc incident to  $v$  is part of an edge

(G3) ("planarity") there is no crossing of edges

(show a geodesic emb on the example)

Def:  $S_V$  is axial if it has 3 orth rays

Prop:  $S_V$  generic + axial  $\iff M \hookrightarrow S_V$  geodesic  
 $\implies S_V$  induces a Schnyder wood on  $M$   
 (show on drawing - have to check prop)

Prop: Schnyder wood on  $\Sigma$ -graph  $M$   
 induces an ortho surf.  $S$  with  $M \hookrightarrow S$

Proof: Schnyder wood  $x \mapsto \phi(x) = (\phi_1(x), \phi_2(x), \phi_3(x))$   
 The grid drawing has the empty edge and empty face property  $\implies$  the surface generated by  $V^* = \{\phi(v) : v \in V_M\}$  has the property.  $\square$

Rem: The surface may allow  $M' \hookrightarrow S$  with  $M' \neq M$

Def: An OS  $S$  is rigid if  $\forall$  crit points  $u, v, w \in S$   
 $u, v, w > w \implies w = u \text{ or } w = v$

Note:  $S$  rigid  $\implies \exists$  unique  $M = (V, E)$  with  $M \hookrightarrow S$  geodesic. Moreover, positions of critical points yield  $P_{VE} \hookrightarrow \mathbb{R}^3$  order preserv.

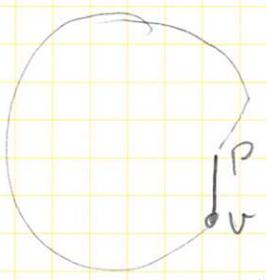
Prop:  $S$  rigid  $M \hookrightarrow S$  geodesic  $f$  bounded face of  $M$

Let  $\alpha_f = V\{v : v \in f\}$  then

- 1.  $\alpha_f \in S$
- 2.  $w \in V, w < \alpha_f \implies w \in f$

Proof: (1) Let  $C$  be the boundary cycle of  $f$

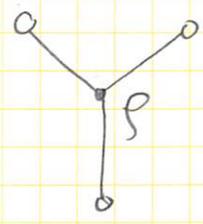
$e \in C \Rightarrow e = (u, v)$  contains an arc  $(u, p)$ ,  $p = uvv$



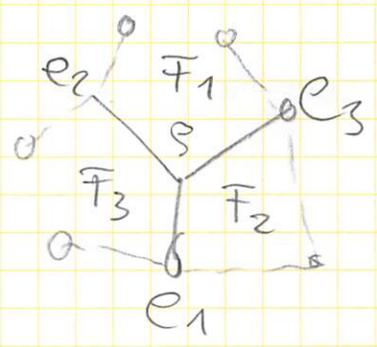
Let  $F$  be the flat incident to  $(u, p)$  which is (partially) inside  $f$

$\exists$  max point  $s$  on  $F$  "above"  $v$   
 $\Rightarrow s$  is inside  $f$ .  $s$  has 3 arcs

each ending in a saddle  
each of these saddles is used by an edge of  $M$

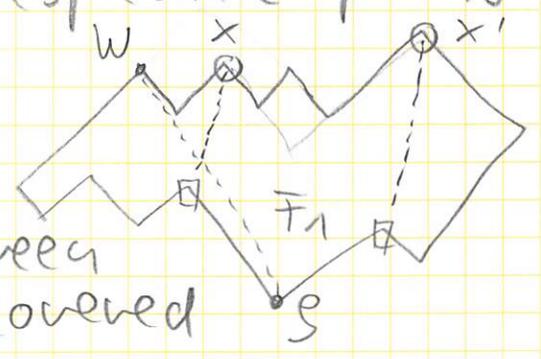


The vertices are on the respective flats



shape of  $F_1$

the MM between  $x$  and  $x'$  is covered by edges of  $M$



- $\Rightarrow$  all vertices of  $f$  are on flats  $F_i$
- $\Rightarrow s \geq x$  for all  $x \in f$  but  $s = e_1 \vee e_2 \vee e_3$   
 $e_i = uvv$  with  $(u, v) \in C \Rightarrow \alpha_f \in S$

- (2)  $\forall w < \alpha_f \quad w \in F_i$  for some  $i$   
 suppose  $w \in f \Rightarrow$  (see the sketch up there)  
 $\Rightarrow$  the segment  $(\alpha_f, w)$  intersects  $(e_j, x)$   
 $\Rightarrow e_j > w \quad \nabla e_j$  is only above its two vertices which belong to  $f$ .

Rigid surface  $S$  with  $M \hookrightarrow S$  geodesic certifies strong dimension theorem.

THM

$G=(V,E)$   $\Sigma$ -graph with  $a_1, a_2, a_3$  outer face  $\Rightarrow \exists S$  rigid with  $G \hookrightarrow S$

proof. Construct a SW and let  $S$  be the OS obtained by counting faces in regions.

An illustration of a problem

We augment  $T_i \cup T_{i-1}^{-1} \cup T_{i+1}^{-1}$

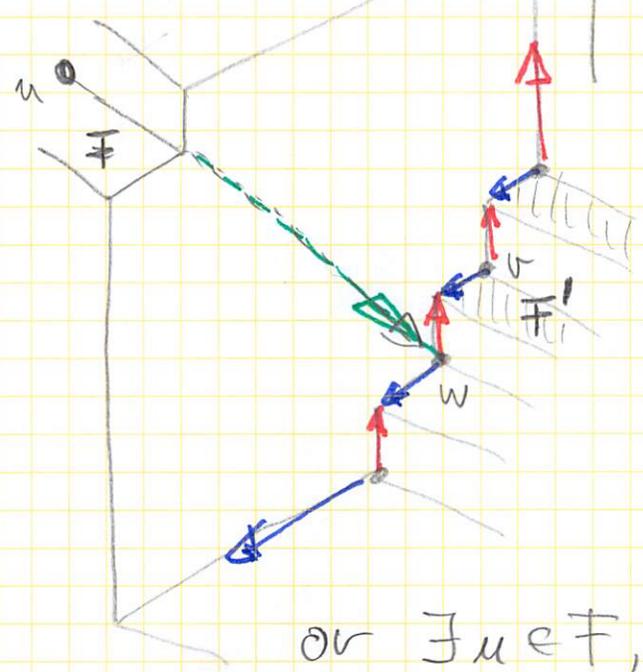
Let  $F_r$  be the set of red flats

we define

$F \rightarrow F'$  if

Bilder  
Rods.

$\exists u \in F, v \in F'$  with  $(u,v) \in T_i$  or  $(v,u) \in T_{i-1}$  or  $(v,u) \in T_{i+1}$



or  $\exists u \in F, v \in F'$  and  $w$

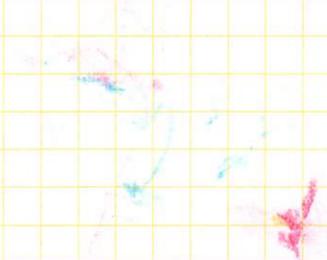
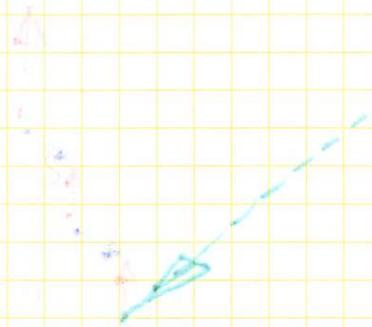
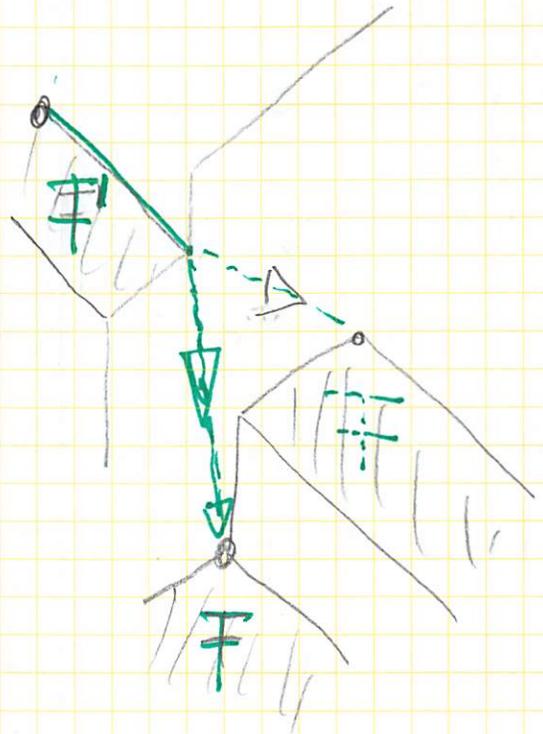
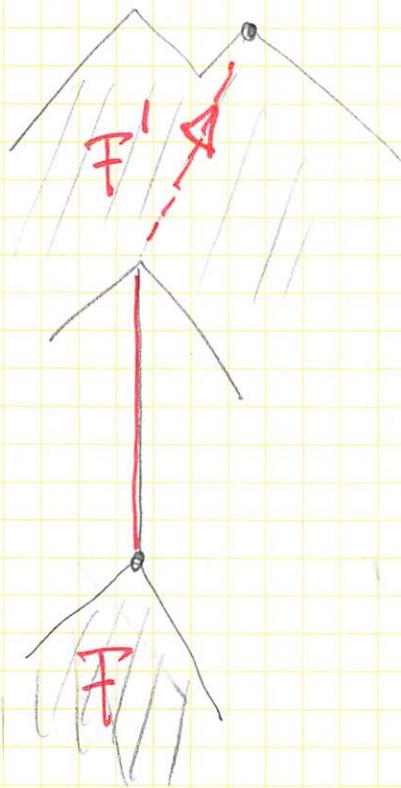
such that  $uw \in T_i$ ,  $u, v$  on common flat of  $F_{g_{i+1}}$

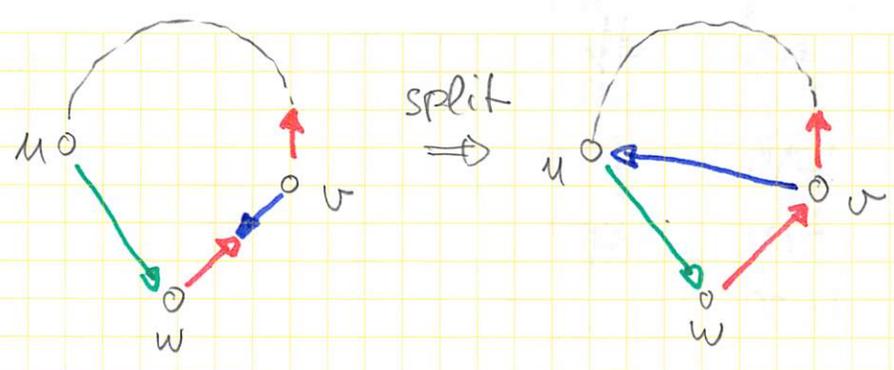
and  $w \rightarrow v$  in  $T_r$

or same with  $b$  replacing  $g$

Claim: This relation is acyclic

Proof: We modify the underlying SW such that the relation becomes  $T_i \cup T_{i-1}^{-1} \cup T_{i+1}^{-1}$





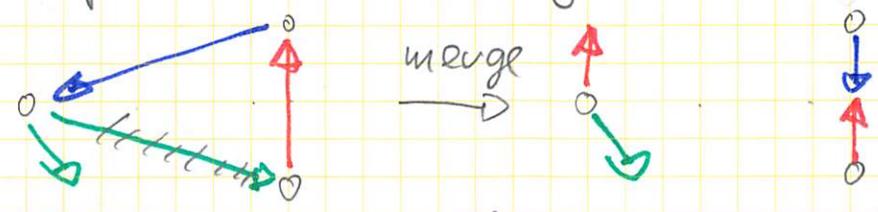
valid SW  
 $u \rightarrow v$  in  $T_b^{-1}$

Using  $F_i \rightarrow \mathbb{R}$  respecting the relation we obtain a rigid OS for  $G_i$ .

The skeleton of the surface is unchanged just the heights of flats are changed.

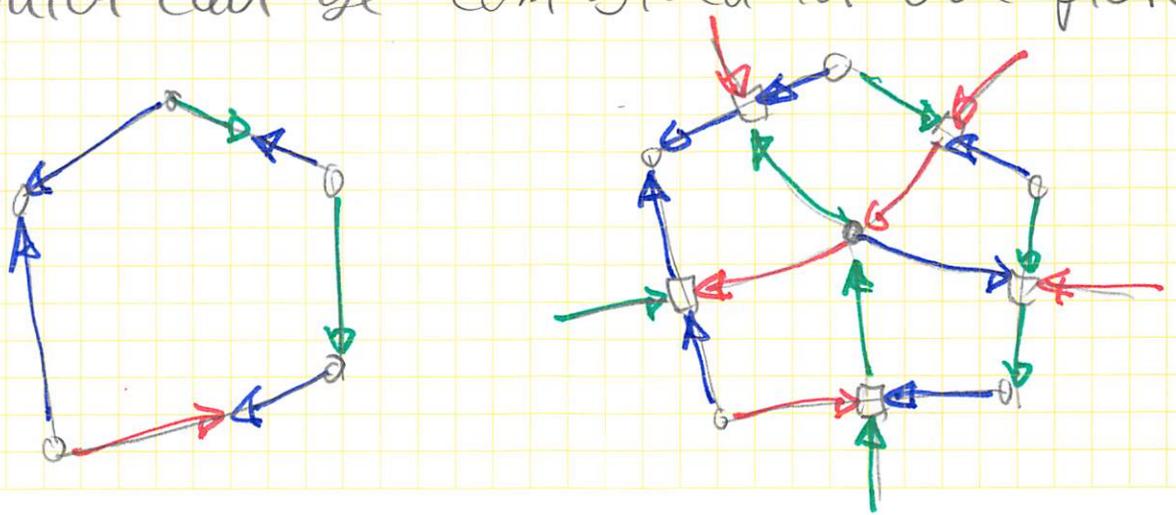
### Remarks about SW

- The operation merge



can be used to reduce the number of faces  $\rightarrow$  more compact drawings

- Looking at OS we can see that SW come in primal dual pairs which can be combined in one picture



# Dimension of polytopes

$G$  planar 3-con  $\Rightarrow$   $\exists$  rigid DS  $G \hookrightarrow S$  geodesic

$\Rightarrow \dim P_{VEF}(G) \leq 3$

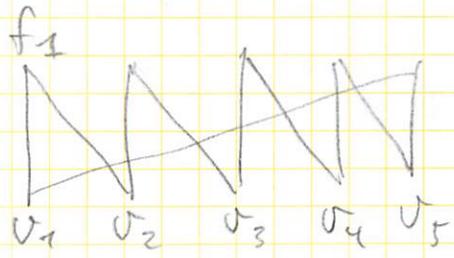
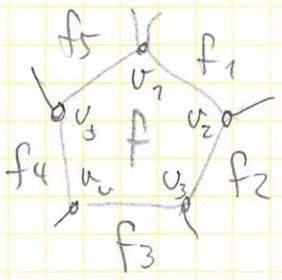
$\Rightarrow \dim P_{VEF} \leq 4$

$P_{VEF}$  is the truncated face lattice of a 3-polytope

The Lower bound

THM:  $G$  3 connected  $\Rightarrow \dim P_{VF}(G) \geq 4$

Proof we know  $\dim P_{VF} \geq 3$  because  $G$  has a cycle



Suppose  $\exists$  realizer  $L_1 L_2 L_3$

Let  $f$  be lowest face in  $L_3$

$\Rightarrow$  in  $L_3 \quad \{v_1 \dots v_k\} < f < \{f_1 \dots f_k\}$

$\Rightarrow L_3$  reverses no crit pair of the cycle  $\square$

Remark: In general  $P$  a  $d$ -polytope

$F(P)$  face lattice  $\Rightarrow \dim F(P) \geq d+1$

(essentially the same proof - cycle is a 2-polyt)

But this lower bound can be bad

$\exists$  4-polytop  $P$  with  $K_n$  in skeleton

$\Rightarrow \dim F(P) \geq \log \log n$

# 4.4. Dimension of planar maps

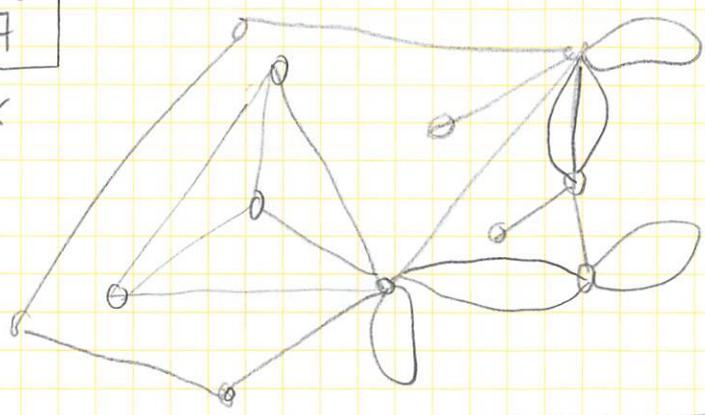
In this section we prove the theorem

**THM**  
Brightw.  
Tro Her  
1997

If  $G$  is a plane multigraph, Loops allowed  
 $\Rightarrow \dim P_{VEF} \leq 4$

The original proof  
Schurden tech tour de  
force. Here a more recent  
proof which uses several  
techniques of  
independent  
interest

Ex

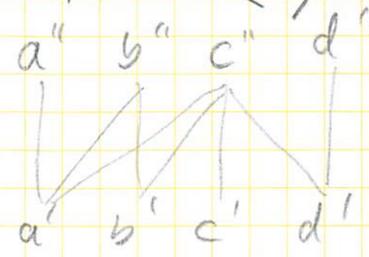
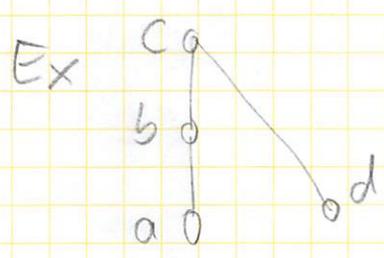


## Splits and dimension

Def  $P = (X, \leq)$  a poset the split  $sp(P)$ :

Ground set 2 copies  $X', X''$  of  $X$

Relation  $x' < y'' \iff x \leq y$  in  $P$



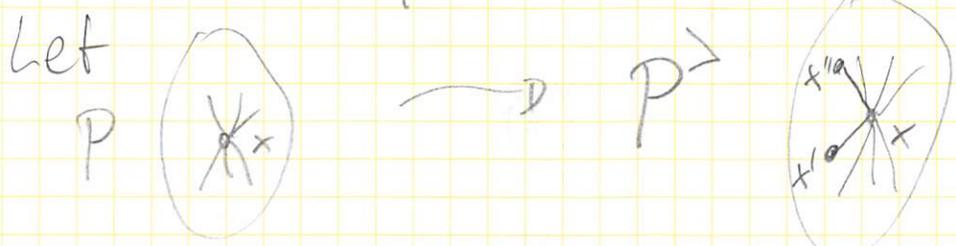
Rem. It is also possible to split only a subset of the elements of  $P$

Prop:  $\dim(P) \leq \dim sp(P) \leq \dim P + 1$

proof: let  $(x, y) \in luc(P)$  be represented by  $(x', y'') \in luc(sp(P))$

This maps alternating cycles to alt cycles  $\Rightarrow \chi(\mathcal{L}_P) \leq \chi(\mathcal{L}_{sp(P)})$

For second ineq.



$sp(P)$  is an induced suborder of  $P^>$

$L_1 \dots L_k$  a realizer of  $P$

define  $L_i^>$  by replacing  $x$  in  $L_i$  by  $x'x''$  consecutive triple

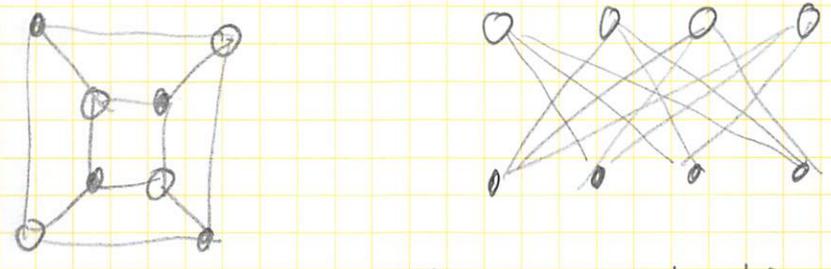
inc pairs that are not reverted by  $L_1^> \dots L_k^>$   $(x'', y')$ ,  $(x' y')$  and  $(x'', y'')$  with  $x < y$  with

$$L_{k+1}^> = L_k^> [x'] \leftarrow L_k [x] \leftarrow L_k^> [x'']$$

### Dimension of GIGs

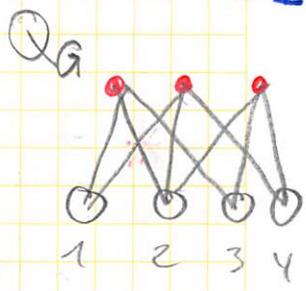
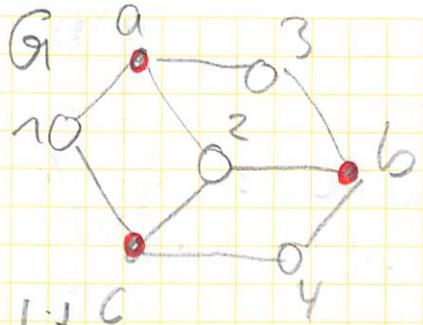
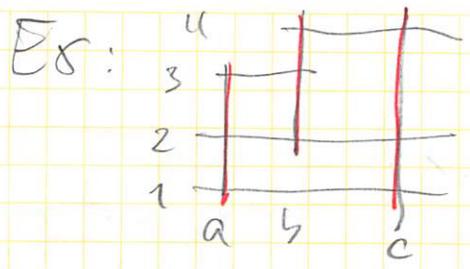
Every bipartite graph can be seen as a height 2 poset

Ex



It makes sense to talk about the dimension.

Def A GIG is a grid intersection graph  
 vertices : horizontal and vertical segments with distinct support lines  
 edges : pairs of intersecting segments



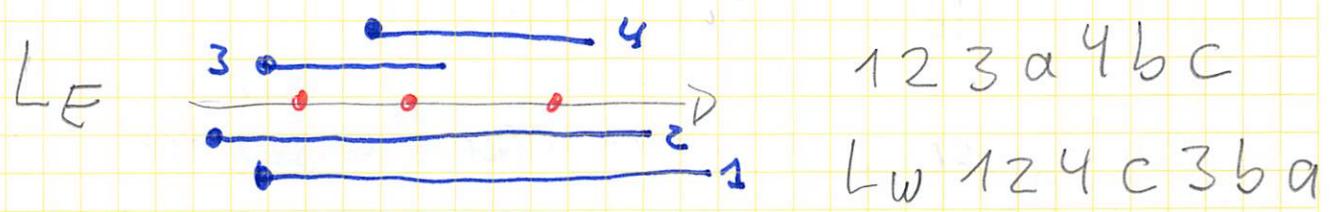
obs: GIGs are bipartite

Prop.  $G$  a GIG  $\Rightarrow \text{dim } Q_G \leq 4$

proof: Construct 4 lin. extensions

$L_E, L_W, L_S, L_N$

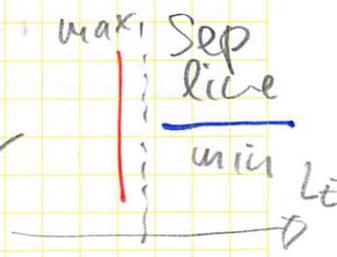
$L_E$  in dir 0 take mins as early as poss.  
maxes as late as possible



claim: they are a realizer

proof incomp min max pair

□

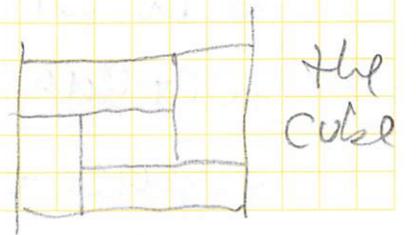


## Segment contact representations

Theorem: Every planar bipartite graph admits a segment contact representation with interiorly disjoint horizontal and vertical segments.

\* Rückseite

For the  $G$  above just cut ends



\* Ein wunderbarer Beweis für den Satz geht so:

cf  
Lovasz  
Budi / graphp

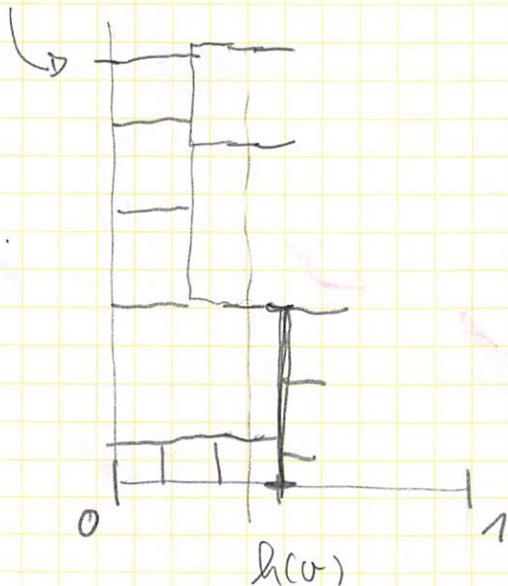
Inputs:  $Q$  quadrang  $G_s$  der schwarze Graph von  $Q$  mit  $s, t$

$h: V_G \rightarrow \mathbb{R}$  harmonisch  
mit Polen  $h(s) = 0 \quad h(t) = 1$

Sei  $f(x, y) = h(y) - h(x)$   
das ist ein Fluss

Mit einem sweep von links nach rechts

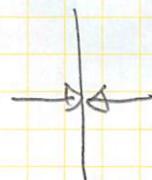
Flusswert



Konstruktion eines Squarings

Vorsicht degeneriertheiten  $h(w) = h(w)$

Sowie

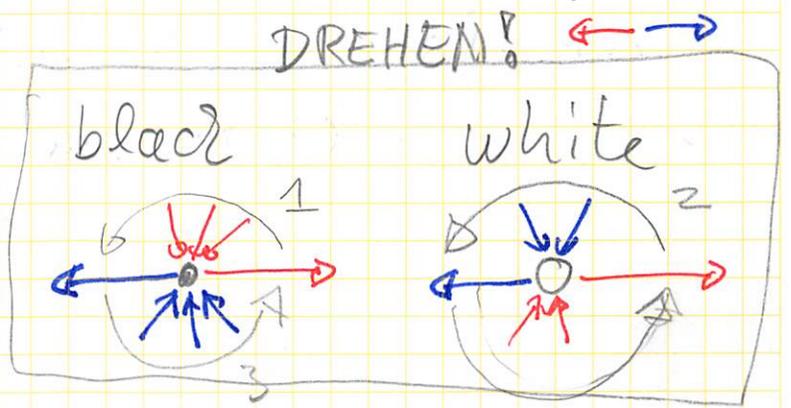
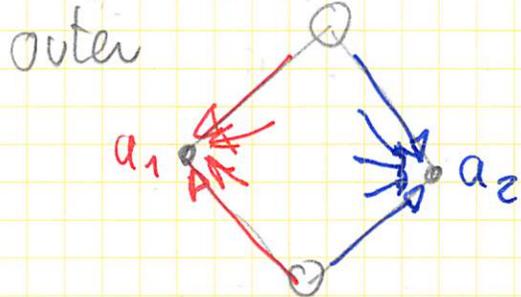


Aber wir wollen ja nur eine Rectangulation dh. wir können die Degeneriertheiten durch Perturbation auflösen.

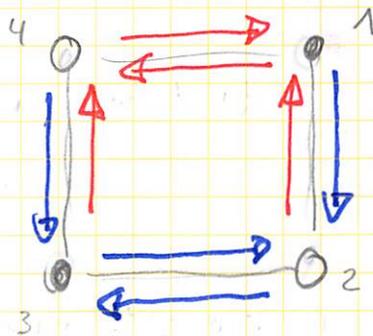
Fact: Every bip. planar graph is induced subgraph of a (planar) quadrangulation.

From now on we look at quadrangulations with white and black vertices

Def: A separating decomposition of  $Q$  is a coloring and orientation of the edges such that



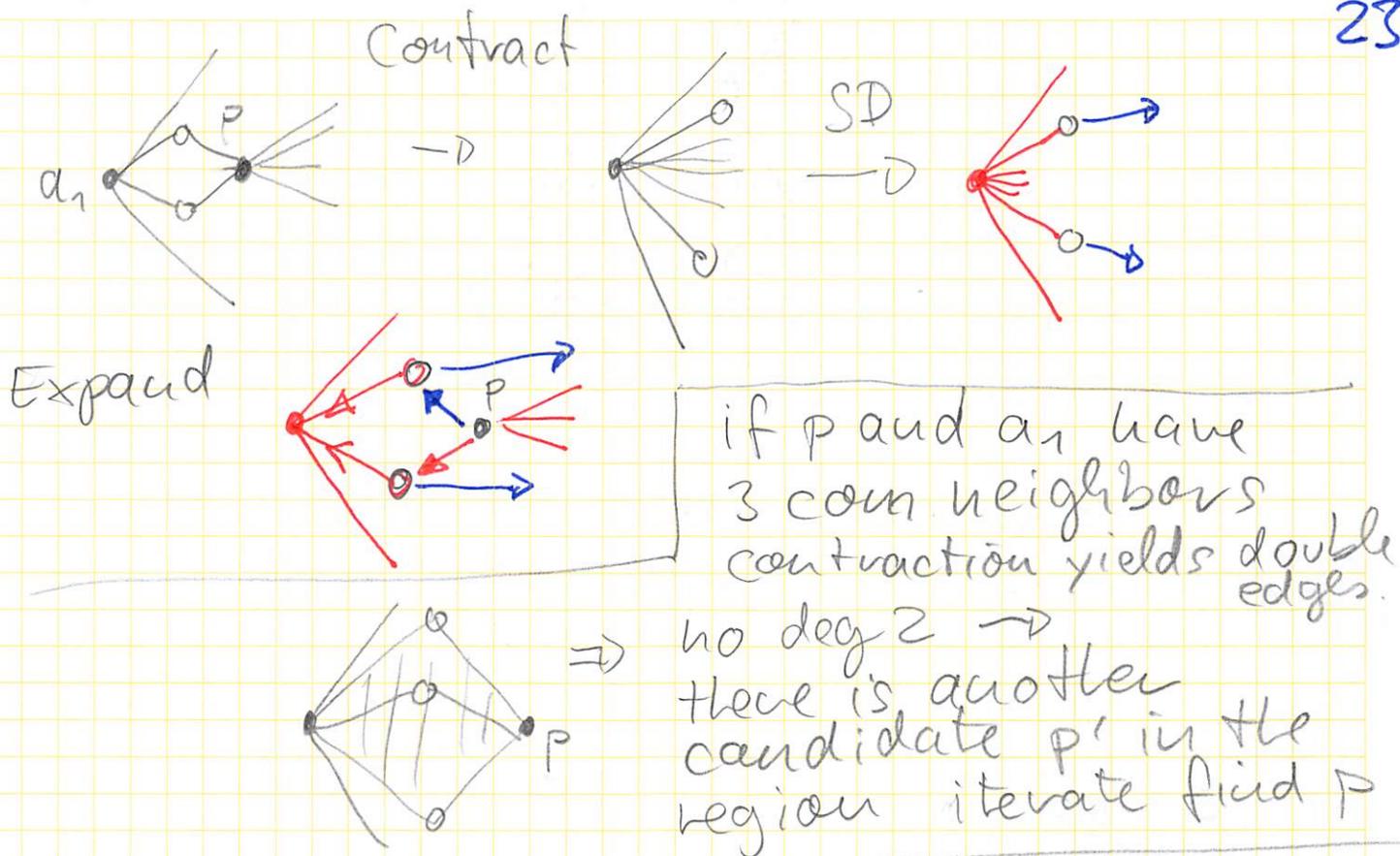
Claim faces in a SD are as follows (8 possibilities)



Proposition: Every quadr.  $Q$  has a SD

Proof: By induction  $n=4$  ✓

- If  $Q$  has a vertex of deg 2 remove - induct reinsert (the case that it is  $a_i$  is special but easy)
- Now  $Q$  has a inner vertex  $v$  adjacent to  $a_1$  let  $p$  be a black neighb. of  $v$   $p \neq a_1$



Lemma: Let  $T_i$  be the  $i$ -colored edges of a SD  $\Rightarrow T_i \cup T_b^{-1}$  is acyclic

Proof: Suppose there is a cycle chord or inner vertex yield cycle of smaller area,  $C$  is a face look at shape no  $\square$   $\square$

Cor.  $T_i$  is a tree

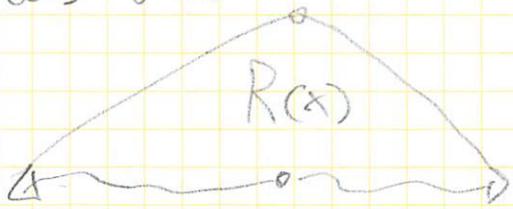
Obs: Every inner face has exactly two color changes.

Def the sep arc of a face is a curve separating the colours \* Rückseite



Ein alternativer Ansatz um die  
alternating trees zu bekommen:

Wir definieren  $R(x)$  die region von  $x$   
als den Bereich oberhalb von  $P_r(x) \cup P_b(x)$



Beob  $\forall x, y$  entweder

- $R(x) \subset R(y)$  od  $R(y) \subset R(x)$
- $y \in R^\circ(x) \Rightarrow R(y) \subset R(x)$

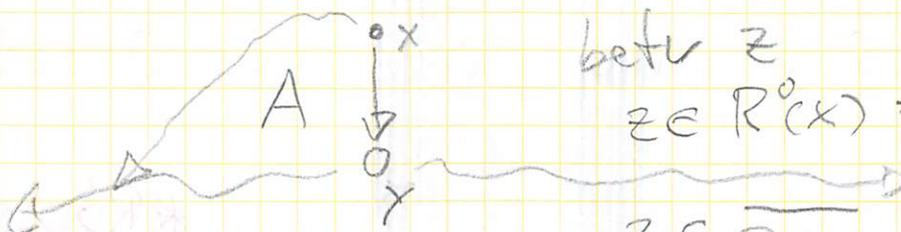
Sei  $f(x) = \# \text{faces in } R(x)$  sowie

$$f(a_r) = -1 \quad f(a_b) = n$$

Def: alternating tree

Prop: Die Abb  $x \mapsto f(x)$  und die  
Zeichnung der blauen Kanten als  
Bögen über der Achse, der roten  
unter der Achse liefert zwei  
alternating trees.

Bzw: Betr Kante hier  $(xy)$  blau



betr  $z$

- $z \in R^\circ(x) \Rightarrow z \text{ vor } xy$
- $z \in \overline{R(y)} \Rightarrow xy \text{ vor } z$

$z \in A \Rightarrow P_b(z)$  geht durch  $y$  (Lokale  
bed bei  $x$ )

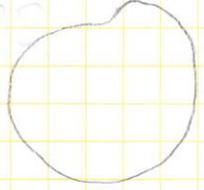
weitere Fälle  
THM 2.14 aus Binary Labelings

Felsen  
Hueber  
Kappes  
Ordern

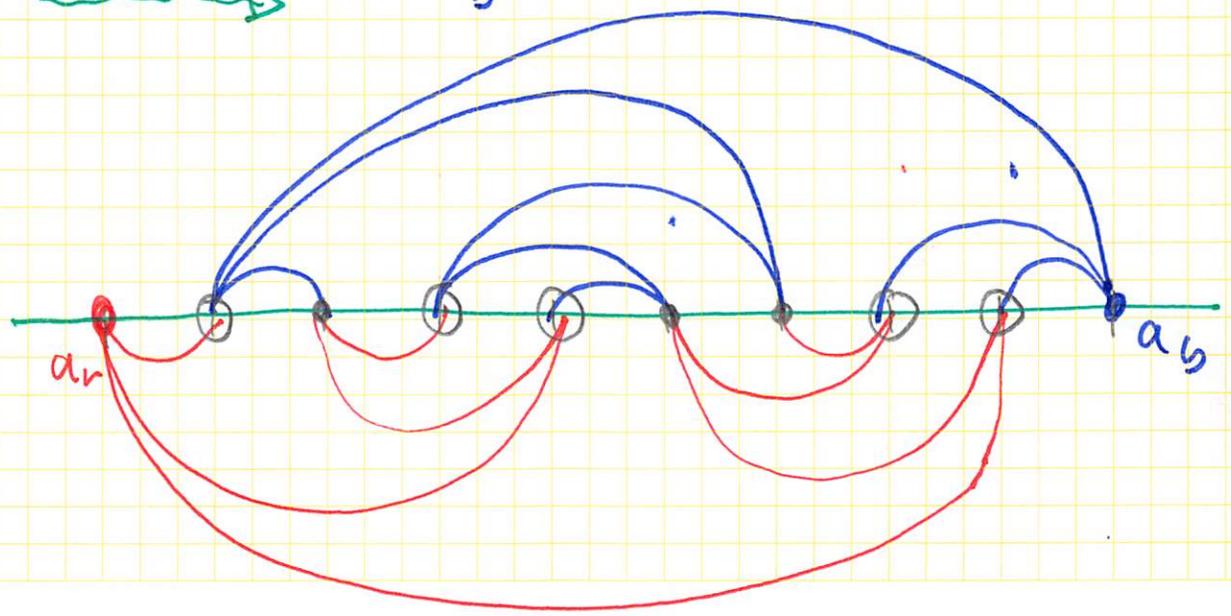
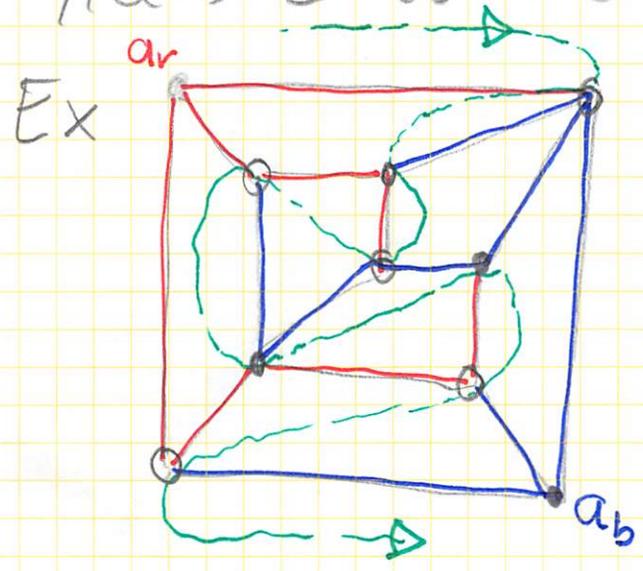
Obs: Every inner vertex is incident to two separating arcs

⇒ sep arcs form a curve connecting the two outer white vertices plus possible closed curves

Claim No 'closed curves' of the

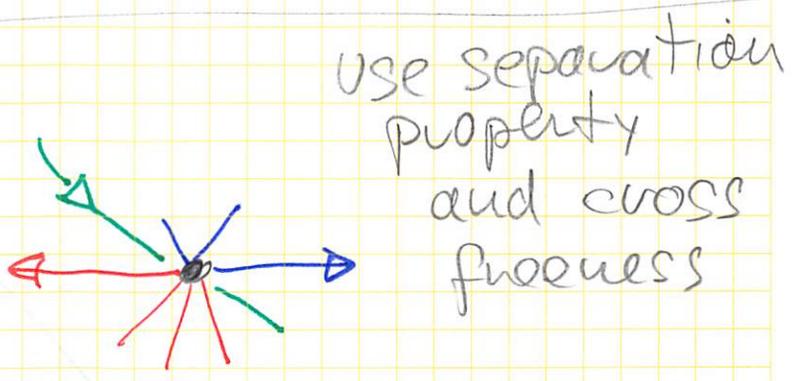
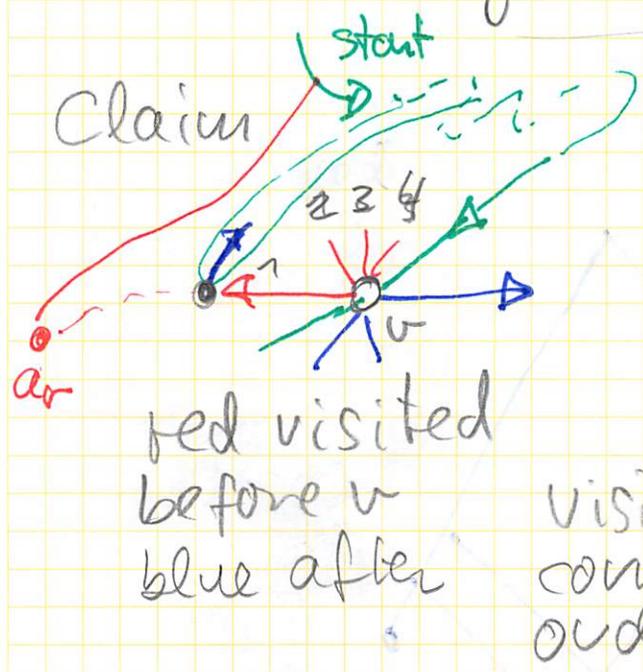
proof  inner edges have paths to root of their tree  $\nabla$  separation  $\square$

Stretching the separating curve yields 2 book embedding



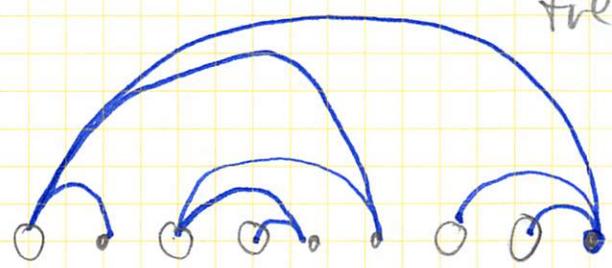
Def: An alternating layout of a plane tree is a drawing in a halfplane with all vertices on the boundary line such that each vertex has all neighbors on one side

Prop: the 2-book layout yields alternating drawings of  $T_r$  and  $T_b$

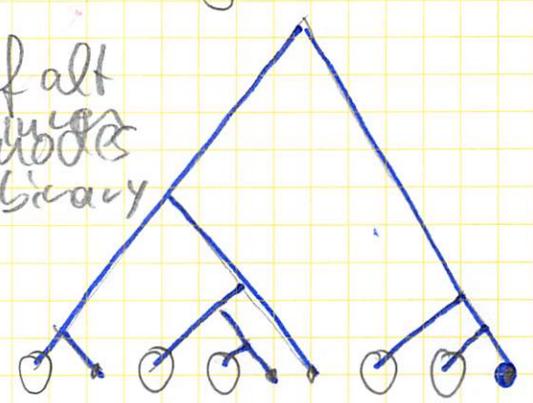


alternating trees and binary trees

a visual bijection

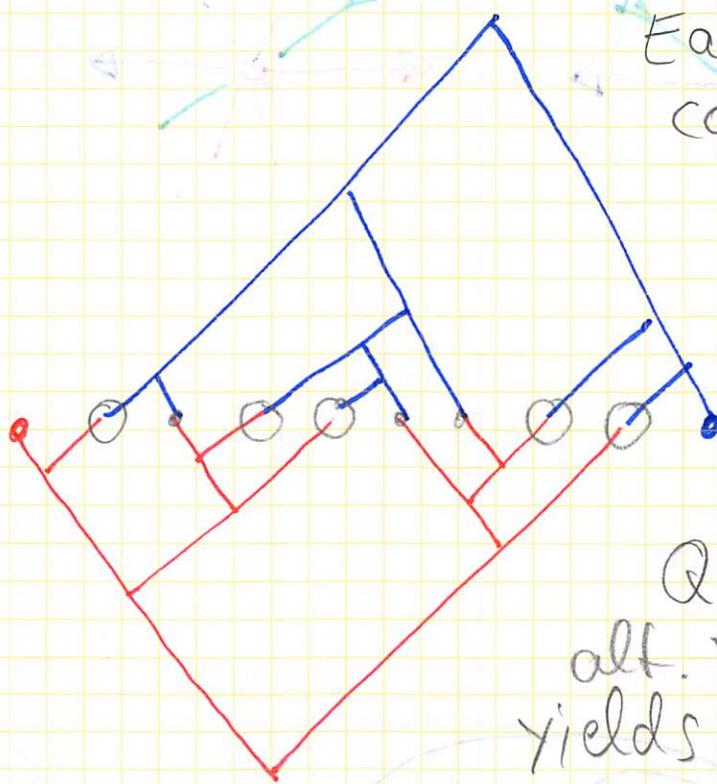


edges of all tree nodes of binary



The segment contact trees.

Rückseite



Each segment  
corresponds  
to a vertex  
(contains)

Each  
edge of  
Q (edge of  
alt. trees)  
yields a contact

Each contact an edge

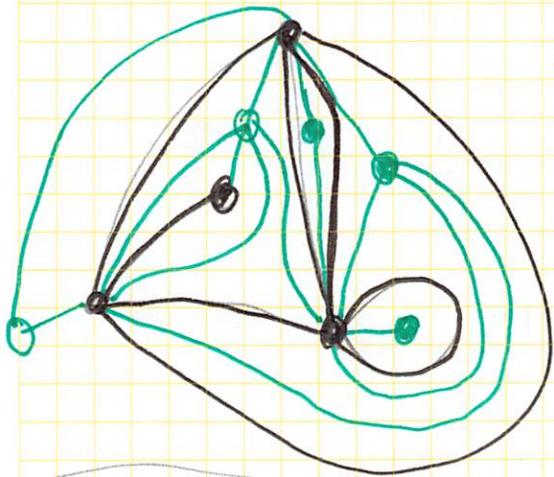
Now we come to the theorem announced at the beginning

### Angle graphs

For a plane map the angle graph  $A_M$

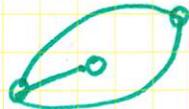
$$V_A = V_M \cup F_M$$

and an edge  $(v, f)$  for an angle where  $f$  meets  $v$ .

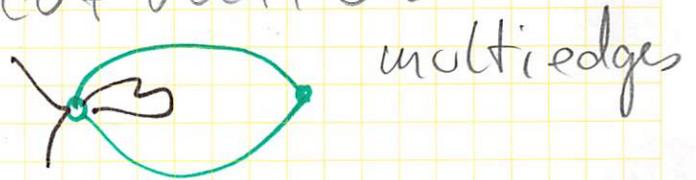


Every face of the angle graph corresponds to an edge and is a quadrangle

- Loops and Leaves



- cut vertices



Assuming that  $M$  is 2 connected

$A_M$  is a quadrangulation and  $A_M = \text{cover}(P, V, F)$

$A_M$  has segment contact representation

- extend edges
- add private stub to each segment

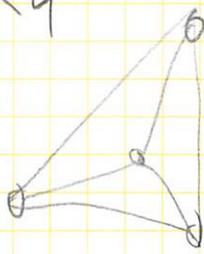
$\Rightarrow$  segments can be identified with elements of  $SP(P, V, F)$

} GIG repr.  $\Rightarrow$

$$\dim(SP(P, V, F)) \leq 4$$

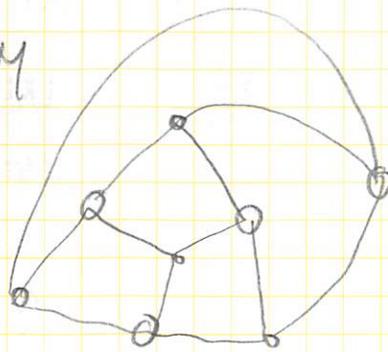
Example

$M = K_4$

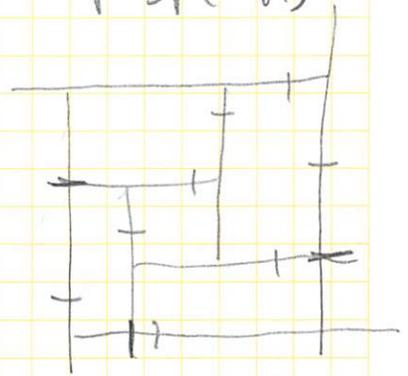


$A_M$

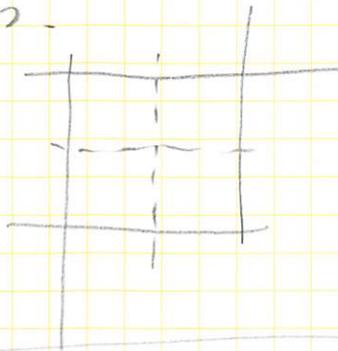
cube



G/G repes of  $sp(A_M)$  27



adding the edges

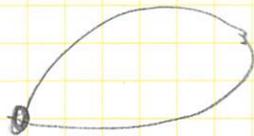


edge of outer quadr.



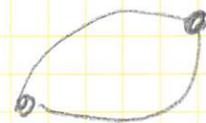
Dealing with Loops:

$M$



subdivide

$M'$

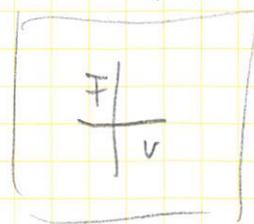


$A_M$  is induced subgraph of  $A_{M'}$

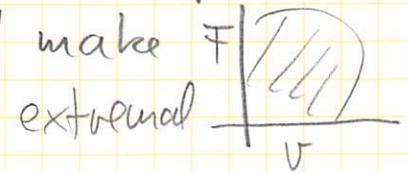
Dealing with cut vertices

Break

do each piece independently  
outer piece      inner piece



there is a corner



make F extremal  
that can accommodate the inner piece

# 4.5 Incidence posets of complete graphs A

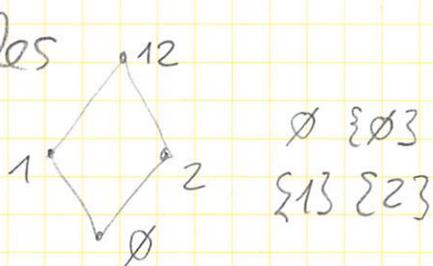
Have seen:  $\dim(K_n) \geq \log \log n$

Today: A precise result - Hopfen Morris 1999

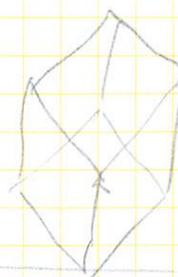
Def: Antichain  $A$  in  $\mathcal{B}_t$  is HM if

$$\forall S, T \in A \quad S \cup T \neq [t]$$

Examples



$\emptyset, \{1\}, \{2\}, \{3\}$   
 $\{1,2\}, \{1,3\}, \{2,3\}$



$\emptyset, \{1\}, \{2\}, \{3\}, \{1,2\}, \{1,3\}, \{2,3\}, \{1,2,3\}$   
 $(1,2), (1,3), (2,3)$   
 $(12), (13), (23)$

THM  $\dim(K_n) = 1 + \min\{t : \mathcal{B}_t \text{ has } \geq n \text{ HM antichains}\}$

Rem: The HM-numbers are known up to  $t=8$   
 see OEIS  $2, 4, 12, 81, 2648$   $\dim K_{2648} = 6$

$$HM(t) \geq 2^{\binom{t}{\lfloor \frac{t}{2} \rfloor}} \quad HM(t) \leq Ded(t) \leq 3^{\binom{t}{\lfloor \frac{t}{2} \rfloor}}$$

This implies  $\dim(K_n) = t+1 \sim \log \log n + \left(\frac{1}{2} + o(1)\right) \log \log \log n$

Proof. Part 1: Lower bound

Let  $\Sigma = \{L_i : 0 \leq i \leq t\}$  a realizer  
 (each  $L_i$  a permutation)

We write  $x <_i y$  if  $x < y$  in  $L_i$

We assume  $L_0 = id[n]$

Let  $S_{xy} = \{i : x <_0 i <_0 y\}$

and  $A_x = \text{Max}(S_{xy} : x <_0 y) \subseteq \mathbb{B}_{t-1}$

Prop  $\mathcal{A} = \{A_x : x \in [n]\}$  is a family of pw different HM antichains in  $\mathbb{B}_t$

Proof:  $x <_0 y <_0 z$

different  $\Sigma$  is a realizer  $\Rightarrow \exists i$  with  $x, z <_i y$

$\Rightarrow i \in S_{xy} \quad i \notin S_{yz} \Rightarrow S_{xy} \not\subseteq S_{yz}$

$\Leftarrow$  RvDz and  $A_x \neq A_y$ . ( $A_n = \emptyset$  unique)

HM property  $\exists j$  with  $yz <_j x$

$\Rightarrow j \notin S_{xy} \quad j \notin S_{xz} \Rightarrow S_{xy} \cup S_{xz} \neq [t]$

$\Rightarrow A_x$  is HM.  $\square$

Part 2: upper bound

$\mathbb{B} = \mathbb{B}_t$  a fam of HM antichains in  $\mathbb{B}$

Fix a bij  $[n] \longleftrightarrow \mathcal{A} \quad x \longmapsto A_x$

Let  $\lambda$  be a linear extension of  $\mathbb{B}$

We define:  $v(A)$  the char. vector of  $A$   
(components ordered according to  $\lambda$ )

with  $w_i$  the char vector of all sets not containing  $i$

$$v_i(A) = v(A) +_2 w_i$$

Define  $<_0 \leq_1 \dots \leq_t$  on  $[n]$  as follows

•  $x <_0 y \Leftrightarrow v(A_x) <_{\text{colex}} v(A_y) \Leftrightarrow \underbrace{\max(A_x \Delta A_y)}_{M_{xy}} \in A_y$

Hier habe ich einen  
kleinen Hänger

Der Ansatz:

$$\text{Ang } A_x = A_y$$

in  $A_x$  gibt es  $S$  mit  $S_{xy} \subseteq S$

$$\Rightarrow \exists z \text{ mit } S = S_{yz}$$

$$\text{nur gilt } S_{xy} \subseteq S_{yz}$$

also kommt  $y$  nicht über  $x$  und  $z$

- $X <_i Y \Leftrightarrow \cup_i(A_x) <_{\text{colex}} \cup_i(A_y)$   
 $\Leftrightarrow i \in M_{xy} \in A_y \text{ or } i \notin M_{xy} \in A_x$

rewritten

$$x <_i y \text{ and } x <_0 y \Leftrightarrow i \in M_{xy} \in A_y$$

Claim. This is a realizer

$$\text{Lex } x <_0 y <_0 z$$

- in  $<_0$  we have  $z$  over  $xy$

- in  $<_i$  we have  $x$  over  $yz$

if  $i \notin M_{xy} \in A_x$  and  $i \notin M_{xz} \in A_x$

No such  $i \Rightarrow M_{xy} \cup M_{xz} = [t]$   
 this contradicts the HM property of  $A_x$

- in  $<_i$  we have  $y$  over  $xz$

if  $i \in M_{xy} \in A_y$  and  $i \notin M_{yz} \in A_y$

No such  $i \Rightarrow M_{xy} \subseteq M_{yz}$

this contradicts the anti-chain property of  $A_y$

□

## Dimension of $Z$ levels of the Boolean Lattice

For levels  $k < l < n$  in  $B_n$  we are interested in  $\dim(k, l; n)$

We know  $\dim(1, n-1; n) = n$  (standard ex)

$$\dim(1, 2; n) \sim (n+1) \log \log n.$$

Remark 1.  $\dim(i, j, k; n) = \dim(i, k; n)$   
 only min-max critical pairs

$\Rightarrow$  Remark 2.  $\dim(1, k; n) \leq \dim(1, k+1; n)$

[a bad lower bound for  $\dim(1, k; n)$

$L$  can reject  $\binom{n}{k+1}$  pairs  $(x, K)$

There are  $\binom{n}{k}(n-k)$  such pairs in  $\mathcal{B}_n(1, k)$

$$\Rightarrow \dim(1, k; n) \geq k+1$$

More interesting results

Dushnik 1950

precise results for  $\dim(1, k; n)$

$$\text{for } 2\sqrt{n} - 2 \leq k \leq n - 1$$

A lower bound

Prop:  $k \geq 2\sqrt{n} - 2 \Rightarrow \dim(1, k; n) > n - \sqrt{n}$

Proof by monotonicity it is enough to prove it for  $k = 2\sqrt{n} - 2$

Suppose  $L_1 \dots L_t$  is a realizer  $t \leq n - \sqrt{n}$

Let  $T$  be the set of top elements  $|[n] - T| \geq \sqrt{n}$

Let  $S \subseteq [n] - T$  with  $|S| = \sqrt{n}$  consider the restrictions  $L'_1 \dots L'_t$  of the  $L_i$  to  $S$

$\exists x \in S$  which is top in  $\leq \frac{t}{\sqrt{n}} \leq \frac{n - \sqrt{n}}{\sqrt{n}} = \sqrt{n} - 1$  of the  $L'_i$

Let  $\Sigma_x = \{L'_i; x \text{ is top in } L'_i\}$

and  $T_x = \{y; y \text{ is top in } L_i \text{ and } L'_i \in \Sigma_x\}$

$$K' = T_x \cup (S - x) \quad |K'| \leq (\sqrt{n} - 1) + (\sqrt{n} - 1)$$

$k \geq k'$  with  $|K| = 2\sqrt{n} - 2$   $k$  never gets over  $x$   $\square$